

CRS Self Certification Form – Entity



Entity tax residency Self-Certification form INSTRUCTIONS

As a financial institution, Arab Bank for Investment & Foreign Trade is not allowed to give tax advice. Your tax adviser may be able to assist you in answering specific questions on this form. Your domestic tax authority can provide guidance regarding how to determine your tax status.

Please read these instructions before completing the form.

"Regulations based on the OECD Common Reporting Standard ("CRS") require Arab Bank for Investment & Foreign Trade to collect and report certain information about an account holder's tax residency. If the account holder's tax residence is located outside United Arab Emirates, we may be legally obliged to pass on the information in this form and other financial information with respect to your financial accounts to the relevant local authorities and they may exchange this information with tax authorities of another jurisdiction or jurisdictions pursuant to intergovernmental agreements to exchange financial account information.

This form will remain valid unless there is a change in circumstances relating to information, such as the account holder's tax status or other mandatory field information that makes this form incorrect or incomplete. In that case you must notify us and provide an updated self-certification.

This form is intended to request information consistent with local regulations.

Please complete this form where you need to self-certify on behalf of an entity account holder.

Where the Account Holder is a Passive NFE, or an Investment Entity located in a Non-Participating Jurisdiction managed by another Financial Institution

Please provide information on the natural person(s) who exercise control over the Account Holder (individuals referred to as "Controlling Person(s)") by completing a "Controlling Person Section" for each Controlling Person. This information should be provided by all Investment Entities located in a Non-Participating Jurisdiction and managed by another Financial Institution.

You should indicate the capacity in which you have signed in Part 5. For example you may be the custodian or nominee of an account on behalf of the account holder, or you may be completing the form under a signatory authority or power of attorney.

You can also find out more, including a list of jurisdictions that have signed agreements to automatically exchange information, along with details about the information being requested and summaries of defined terms such as an Account Holder, Controlling Person, Passive/Active NFE on the OECD automatic exchange of information portal (www.oecd.org).

Entity tax residency Self-Certification FORM - (please complete parts 1-3 in BLOCK CAPITALS)

Part 1 - Identification

A. Legal Name of Entity/Branch*:

B. Country of incorporation or organisation:

C. Current Residence Address:

Line 1 (Office/Building Name, Number, Street)*

Line 2 (Postal Code/City/County)*

Line 2 (Postal Code/City/County)*

D. Mailing Address: (please complete if different than Section C above)

Line 1 (Office/Building Name, Number, Street)*

Line 2 (Postal Code/City/County)*

Part 2 – Entity Type (please provide the Account Holder's Status by ticking one of the following boxes)

1. (a) Financial Institution – Investment Entity

- i. An Investment Entity located in a Non-Participating Jurisdiction and managed by another Financial Institution (Note: Please complete Part 4)
- ii. Other Investment Entity

(b) Financial Institution – Depository Institution, Custodial Institution or Specified Insurance Company

If you have ticked (a) or (b) above, please provide, if held, the Account Holder's Global Intermediary Identification Number ("GIIN") obtained for FATCA purposes.

GIIN:

(c) Active NFE – a corporation the stock of which is regularly traded on an established securities market or a corporation which is a related entity of such a corporation.

If you have ticked (c), please provide the name of the established securities market on which the corporation is regularly traded:

If you are a Related Entity of a regularly traded corporation, please provide the name of the regularly traded corporation that the Entity in (c) is a Related Entity of:

(d) Active NFE – a Government Entity or Central Bank

(e) Active NFE – an International Organisation

(f) Active NFE – other than (c)-(e) (for example a start-up NFE or a non-profit NFE)

(g) Passive NFE (Note: Please complete Part 4)

CRS Self Certification Form – Entity



Part 3 – Country/Jurisdiction of Residence for Tax Purposes and related Taxpayer Identification Number or functional equivalent* (“TIN”)

Please complete the following table indicating (i) where the Account Holder is tax resident and (ii) the Account Holder’s TIN for each country/Reportable Jurisdiction indicated. Countries/Jurisdictions adopting the wider approach may require that the self-certification include a tax identifying number for each jurisdiction of residence (rather than for each Reportable Jurisdiction).

If the Account Holder is not tax resident in any country/jurisdiction (e.g., because it is fiscally transparent), please indicate that on line 1 and provide its place of effective management or jurisdiction in which its principal office is located.

If the Account Holder is tax resident in more than three countries/jurisdictions, please use a separate sheet.

If a TIN is unavailable please provide the appropriate reason A, B or C where appropriate.

Reason A - The country/jurisdiction where the Account Holder is resident does not issue TINs to its residents

Reason B – The Account Holder is otherwise unable to obtain a TIN or equivalent number (Please explain why you are unable to obtain a TIN in the below table if you have selected this reason)

Reason C – No TIN is required. (Note. Only select this reason if the domestic law of the relevant jurisdiction does not require the collection of the TIN issued by such jurisdiction)

Country/Jurisdiction of tax residence	TIN	If no TIN available enter Reason A, B or C
1		
2		
3		

Please explain in the following boxes why you are unable to obtain a TIN if you selected Reason B above.

1	
2	
3	

Part 4 – Controlling Person (CP) tax residency Self-Certification

Please complete this section if you have selected 1(A)(I) or 1(G) in Part 2

Controlling Person: Natural Person who ultimately owns or exercise control over the entity (such as Power of attorney)

Please complete this table with the information related to all the controlling person of account holder identified in Part 1 of this document. If there are more than 3, please use separate sheet:

Controlling Person 1									
First Name:					Last Name:				
Date of Birth (dd/mm/yyyy)			Country of Birth:			City of Birth:			
Current Residence Address:									
Office/Building Name, Number, Street:									
City:			State			Country:			
Country of Tax Residence:			TIN #			Reason for not providing TIN (A, B, or C refer to Part 3)			
Type of Controlling person (refer appendix 1)									

Controlling Person 2									
First Name:					Last Name:				
Date of Birth (dd/mm/yyyy)			Country of Birth:			City of Birth:			
Current Residence Address:									
Office/Building Name, Number, Street:									
City:			State			Country:			
Country of Tax Residence:			TIN #			Reason for not providing TIN (A, B, or C refer to Part 3)			
Type of Controlling person (refer appendix 1)									

Controlling Person 3									
First Name:					Last Name:				
Date of Birth (dd/mm/yyyy)			Country of Birth:			City of Birth:			
Current Residence Address:									
Office/Building Name, Number, Street:									
City:			State			Country:			
Country of Tax Residence:			TIN #			Reason for not providing TIN (A, B, or C refer to Part 3)			
Type of Controlling person (refer appendix 1)									

CRS Self Certification Form – Entity



Part 5 – Declaration and Signature*

I understand that the information supplied by me is covered by the full provisions of the terms and conditions governing the Account Holder's relationship with **Arab Bank for Investment & Foreign Trade** setting out how **Arab Bank for Investment & Foreign Trade** may use and share the information supplied by me.

I acknowledge that the information contained in this form and information regarding the Account Holder and any Reportable Account(s) may be reported to the tax authorities of the country/jurisdiction in which this account(s) is/are maintained and exchanged with tax authorities of another country/jurisdiction or countries/jurisdictions in which the Account Holder may be tax resident pursuant to intergovernmental agreements to exchange financial account information.

I certify that I am authorised to sign for the Account Holder in respect of all the account(s) to which this form relates.

I declare that all statements made in this declaration are, to the best of my knowledge and belief, correct and complete .

I undertake to immediately advise **Arab Bank for Investment & Foreign Trade** of any change in circumstances which affects the tax residency status of the Account Holder identified in Part 1 of this form or causes the information contained herein to become incorrect or incomplete (including any changes to the information on controlling persons identified in Part 4), and to provide **Arab Bank for Investment & Foreign Trade** with a suitably updated self-certification and Declaration within **30 days** of such change in circumstances.

Signature:*

Print name:*

D D M M Y Y Y Y

Note: Please indicate the capacity in which you are signing the form (for example 'Authorised Officer'). If signing under a power of attorney please also attach a certified copy of the power of attorney.

Capacity:

For Bank Use (Internal) Reviewed by

RM Name

Signature: *

D D M M Y Y Y Y

Appendix 1

Type of Controlling Person

- a. Controlling Person of a legal person – control by ownership
- b. Controlling Person of a legal person – control by other means
- c. Controlling Person of a legal person – senior managing official
- d. Controlling Person of a trust - settlor
- e. Controlling Person of a trust – trustee
- f. Controlling Person of a trust – protector
- g. Controlling Person of a trust – beneficiary
- h. Controlling Person of a trust – other
- i. Controlling Person of a legal arrangement (non-trust) – settlor-equivalent
- j. Controlling Person of a legal arrangement (non-trust) – trustee-equivalent
- k. Controlling Person of a legal arrangement (non-trust) – protector-equivalent
- l. Controlling Person of a legal arrangement (non-trust) – beneficiary-equivalent
- m. Controlling Person of a legal arrangement (non-trust) – other-equivalent